

Liquidation / Cash Transfer Form

Instructions: If multiple accounts and/or custodians are involved, a form for each account and/or custodian must be submitted. Attach a copy of your most recent account statement, showing current holdings, from your current custodian. Failure to fully complete the form(s) or attach appropriate documents, **including current account statements** and any other documentation that is required by the delivering custodian, may result in a delay in the processing of your request for which SEI Private Trust Company ("SPTC") cannot be held accountable. **This form is not to be used to transfer assets internally between accounts custodied at SPTC.**

THIS FORM SHOULD BE USED FOR TRANSFERS BETWEEN LIKE ACCOUNT TYPES ONLY.

403(b): This form can be used for a transfer from a 403(b)(7) account to a 403(b)(7) account at SPTC. The employer ("Plan Sponsor") must sign this form in order to complete this transfer. SPTC does not provide any administrative or recordkeeping services for 403(b)(7) accounts. Any administrative or recordkeeping requirements are the responsibility of the Investor or the employer who is responsible for the 403(b) plan that governs the Investor's account.

Qualified Plan or 403(b) Rollover: This form should **not** be used to complete a qualified plan or 403(b) rollover to an IRA. Rollovers and distributions of an individual participant's qualified retirement plan or 403(b) account must be initiated by the individual participant through the employer who sponsors the qualified retirement plan or 403(b) program. The account that is being opened with SPTC is strictly a custody and investment account for your qualified retirement plan or 403(b) program. Any necessary recordkeeping and administration services that are required are the responsibility of the employer that sponsors the qualified retirement plan or 403(b) program.

Please forward **signed original form(s)** to the address below. Faxed forms **will not** be processed.

Overnight Delivery

SEI Private Trust Company
Attention: Advisor Network (Service Team)
One Freedom Valley Drive
Oaks, PA 19456-9907

Regular Mail

SEI Private Trust Company
Attention: Advisor Network (Service Team)
P.O. Box 1098
Oaks, PA 19456

Section 1: Indicate the complete title of the account at SPTC, the Investor's Taxpayer Identification Number, and other requested information. Additionally, check the appropriate box indicating the account type. If the receiving account is an existing SPTC account, or a new SPTC account with a pre-assigned account number, the account number must be indicated in the appropriate field at the top of each page of the form.

Section 2: Indicate the delivering custodian's name, overnight street address, and contact information. Additionally, check the appropriate box indicating the account type at the delivering custodian.

Accurate contact information is necessary to ensure that original paperwork is delivered to the correct custodian address. In the event that no contact information is provided for the delivering custodian and SPTC has no contact information on file for the delivering custodian, the form will be rejected.

Section 3: Provide transfer instructions. This information provides direction to SPTC as to how the transfer should be requested and how it will be received from the delivering custodian. Check the appropriate box and indicate the settlement date to notify SPTC if assets have already been liquidated. Please note that certain delivering custodians require that the Investor request liquidation of assets directly and they will not accept liquidation instructions from SPTC.

Section 4: Provide other transfer instructions. Use this section only when requesting a partial liquidation of assets. Indicate the dollar amount, share amount, or "100%" in the appropriate column. Please indicate "\$" for dollar amount, "shares" for number of shares, or "100%" for percentage. Percentages less than 100% are not accepted by delivering custodians.

Section 5: Indicate preference for method of payment by selecting wire or check. If no selection is made a wire will be requested. Wire fees are the responsibility of the Investor and may be deducted from the delivering account by the delivering custodian.

Section 6: Investor must read the agreement, and sign and date the form.

Section 7: For SPTC use only.

SEI Private Trust Company

SEI Account Number:

Liquidation / Cash Transfer Form

1 Receiving Account Information

Investor's Name

Title of Account at SPTC

Investor's Street Address

Investor's City, State, Zip Code

Investor's Taxpayer Identification Number

Joint Investor's Taxpayer Identification Number (if applicable)

Account Type (select one):

- Individual (Non-IRA)
 - Joint
 - UTMA
 - Trust
 - Transfer on Death
 - Other: _____
- Traditional IRA
 - SEP IRA
 - Roth IRA
 - Inherited IRA
 - Inherited Roth IRA
 - SIMPLE IRA
- Estate
 - Profit Sharing
 - UGMA
 - 401(k)
 - 403(b)

2 Delivering Account Information (Transferring "from")

Delivering Custodian's Name

Delivering Custodian's Account Number

Delivering Custodian's Street Address (No P.O. Boxes)

Delivering Custodian's City, State, Zip Code

Contact Name at Delivering Custodian

Contact Phone Number at Delivering Custodian

Account Type (select one):

- Individual (Non-IRA)
 - Joint
 - UTMA
 - Trust
 - Transfer on Death
 - Other: _____
- Traditional IRA
 - SEP IRA
 - Roth IRA
 - Inherited IRA
 - Inherited Roth IRA
 - SIMPLE IRA
- Estate
 - Profit Sharing
 - UGMA
 - 401(k)
 - 403(b)

3 Transfer Instructions (Select one)

- Full Account Liquidation
 - Please indicate if assets have already been liquidated. Indicate settlement date: _____

OR

- Partial Liquidation of only the following assets (Complete Section 4 next)
 - Please indicate if assets have already been liquidated. Indicate settlement date: _____

4 Partial Liquidation Instructions (Please attach additional Asset Liquidation Forms if there are additional assets to liquidate.)

Quantity/Amount (\$/shares/100%)	CUSIP/Ticker	Security Description	Liquidate
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

SEI Account Number: _____

5 Payment Instructions (Select one)

Only to be used for any cash, money markets or liquidations included in instructions in section 3 or 4. If no selection is made below, money will be sent via wire. Delivering custodian may charge wire fees.

Forward wire to:
Wells Fargo Bank
Philadelphia, PA
ABA 121000248, Account #: 2100011327696
SEI Private Trust Company

Send wires with further credit to SEI Account Number
and SEI Account Name.

Forward check to:
SEI Private Trust Company
P.O. Box 781800
Philadelphia, PA 19178-1800

Make checks payable to *SEI Private Trust Company* with
further credit to SEI Account Number and SEI Account
Name.

6 Agreement and Signatures**Delivering custodian:**

Please execute instructions within the time frames required by FINRA Rule 11870 of the Association's Uniform Practice Code or similar rule of another designated examining authority. Unless otherwise indicated, I authorize you to liquidate any money market fund assets that are part of my account and to transfer the remaining balance to SPTC.

Withholding: Do not withhold Federal Income Tax on the portion transferred if this is a 403(b) to 403(b) non-reportable transfer or exchange or if this is a direct rollover from one eligible retirement plan to another eligible retirement plan.

Investor:

I understand that there may be outstanding fees, transfer or wire charges and possible debit balances charged against my account.

I hereby authorize you to deduct any fees from credit balances. If transfer occurs via Automated Customer Account Transfer Service (ACATS) I authorize you to pass any debits and charges onto SPTC. I hereby authorize SPTC to accept debits on my behalf provided the total charge does not exceed \$500.00.

I hereby agree and acknowledge that if I have indicated that the account at the delivering custodian is already liquidated and it is not, assets will be transferred in-kind and it will be my or my designated agent's responsibility to liquidate the assets, and I agree to pay any fees associated with such liquidation.

I understand that SPTC does not provide separate accounting for different money types that may be transferred to the account (e.g. employee pre-tax balances, employer contribution balances, employee after-tax balances). I hereby agree and acknowledge that it is my responsibility to provide separate accounting for different money sources within the account.

I have established an SPTC Account through the execution of the applicable SPTC Application and Agreement(s) and understand that all assets and activity in my SPTC Account is governed by the terms thereof.

For all transfers involving a 403(b) account, please complete the following, if applicable:*Certification Regarding Grandfathered Status of 403(b) Account:*

An Employer signature is not required if your 403(b)(7) Account is "grandfathered" pursuant to Revenue Procedure 2007-71 issued by the Internal Revenue Service. A 403(b)(7) is generally considered to be grandfathered if it meets at least one of the following criteria (**check all that apply to your Account**).

Note: If your Employer has contacted you about your 403(b) Account, you should consult with your Employer to determine if an Employer signature is necessary.

- **403(b) Accounts Established Before January 1, 2005:** These Accounts are generally grandfathered if there were no contributions made to the Account after 2004.
- **403(b) Accounts Established After December 31, 2004 But Before January 1, 2009 That Belong To Former Employees or Beneficiaries:** 403(b) Accounts belonging to former Employees or Beneficiaries who severed employment with the Employer prior to January 1, 2009 are generally grandfathered if contributions to the 403(b) Account have ceased prior to January 1, 2009.
- **403(b) Accounts Established Via a 90-24 Transfer Prior to September 25, 2007:** 403(b) Accounts established prior to September 25, 2007 via a 90-24 transfer (403(b) to 403(b) transfer) are generally grandfathered if no additional contributions or transfers have been made to the Account after September 24, 2007.

Plan Sponsor/Employer Authorization (applies to qualified retirement plans and 403(b)(7) accounts): I hereby agree to the terms and conditions set forth herein and acknowledge the establishment of an SPTC Account and agree to complete any additional documentation as deemed necessary by SPTC. Authorization is required if the SPTC Account does not meet one or more of the grandfather criteria noted above.

By checking this box, I hereby represent and warrant that my 403(b)(7) meets one or more of the grandfather criteria noted above, and acknowledge that SPTC will rely on this representation and warranty, in accordance with the terms of the 403(b)(7) Custodial Account Agreement.

Signature of Authorized Representative of Plan Sponsor/Employer (if applicable)

Date

Investor Signature(s):

Investor's Signature

Date

Joint Investor's Signature (if applicable)

Date

Medallion Guarantee Stamp

7 Acceptance by New Custodian

SPTC hereby agrees to accept the transfer described above and upon receipt of cash/assets will apply the proceeds to the SPTC Investor Account established on behalf of the Investor.

For an IRA account, SPTC has established an IRA account in the name of the above mentioned Investor and hereby agrees to accept the assets and act as Successor Custodian.

For a qualified retirement plan or 403(b)(7) account, SPTC hereby agrees to accept the assets and/or cash pursuant to the instructions given above and will apply the proceeds to the SPTC Account established on behalf of the Investor

SPTC Signature

Date